Section 1. Goals and Accomplishments

Goal 1: Institutional Control. Assist the President, Faculty Athletic Representative and Athletic Director with institutional control by coordinating a comprehensive compliance program that includes education, monitoring, and enforcement.

- Participated as a committee and resource member for the compliance and governance committee and ultimately assisted the institution in achieving NCAA Certification for cycle II.
- Continued to operate within the enforcement policy when reviewing allegations of NCAA rules violations.
- Implemented recommendations from the Big 12 Conference evaluations of the institution's compliance program.
- Continued to attend monthly meetings with the Office of the President to discuss NCAA Compliance Issues.
- Continued regular meetings with the FAR and the President's Office to discuss NCAA compliance issues.
- Continued evaluation of and revisions when necessary to monitoring systems.

Goal 2: Human Resources. Continue to utilize our human and financial assets to maintain a well-organized and effective working atmosphere.

- Maintained a staff of five full time employees.
- Continued undergraduate student employee program and volunteer opportunities for graduate and law students.
- Provided opportunities for continued education on NCAA and Big 12 Conference rules for staff.

Goal 3: Reputation. Continue to maintain an active and supportive function in the Texas Tech athletic department, Lubbock community, Big 12 Conference and NCAA.

- Continued our partnership with Red Raider Sports Magazine which educates Texas Tech boosters through a monthly news article.
- Continued partnership with Red Raider Club to include a compliance message on luncheon tickets and/or programs.
- Continued partnership with the Heckler Booster Organization to include a compliance message on the first pitch luncheon program.
- Maintained compliance information for boosters on the Texas Tech website.
- Continued participation in monthly Big 12 Conference Calls, Big 12 in-person meetings, NAAC member and meeting participation, NCAA member and meeting participation.
- Compliance Team continues to attend NCAA Regional Seminar educational events and Big 12 Summer Rules Workshop

Goal 4: Education. Sustain an all inclusive compliance education program.

- Continued evaluation and enhancement to annual education plan which includes education to: Coaches, Student-Athletes, Athletic and University Staff, Parents of Student-Athletes, Boosters, Prospective Student-Athletes, and Board of Regents.
- Continued monthly newsletter to athletic staff covering bylaws 11-17.
- Continued quarterly newsletter to student-athletes.
- Continued monthly compliance meetings that are attended by coaches, sport specific personnel and senior staff members covering NCAA rules.
- Continued partnership with the athletic business office in which all new athletic department employees review their responsibilities related to NCAA Rules and sign form of responsibility that is placed in their personnel file.
- Continued to meet with all new coaches and provide them with a new coaches orientation guide.
- Continued educational hand-outs to department specific groups within athletics with NCAA rules related to their areas.

Goal 5: Technological Implementation.

- Continued use of scanning technology to increase efficiency in submission of eligibility reports and squad lists to Big 12 Conference Office.
- Continued use of inhouse software program to administer student-athlete forms annually.
• Continued use of shared folders for electronic access of compliance manual for coaches and information that is shared by members of the compliance team.
• Continued to utilize scanning for documents shared by compliance team for electronic filing and efficiency.
• Research and future implementation of software to automate the monitoring of telephone calls from coaches to recruits for NCAA rules limits.
• Partnership with communication services to automate the process of monitoring text messages for recruiting rules.
Section 2. Universal Quantitative Data

There are no Universal Quantitative Data for this area/unit.
COMPLIANCE

Area/Unit Specific Information

Section 3a. Quantitative Information

There is No Area Specific Data in Calendar Year Section.
There is No Area Specific Data in Fall Section.
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Section 3b. Qualitative Information.
There is no qualitative information for the current year.
Commentary:

The mission of Athletic Compliance is to provide a comprehensive compliance program that includes education, monitoring and enforcement by promoting knowledge of and adherence to NCAA, Big 12 Conference and institutional rules and regulations among members of the athletics department, Texas Tech personnel and members of the athletics community, thereby reducing infractions that otherwise may occur. Based on the goals and accomplishments described in this report, the Athletics Compliance Office continually strives to adhere to that mission.

Implementation Plan:

Continual communication between campus and athletics staff ensures that Texas Tech maintains the highest level of institutional control and strong commitment to compliance. Ongoing review and evaluation occurs for the education plan, monitoring systems and enforcement policy. Regular participation at conference and national meetings as well as review of hot topics and national trends ensure that Texas Tech is striving to maintain excellence in the area of rules compliance.